



SECURITIES FEES SCHEDULE

證券收費表

Commission & Fees for HK Stocks 港股佣金及收費

| Items 項目 | Charges 收費 | Remarks 備註 |
|--|-------------------------------------|---|
| Commission 經紀佣金 | 0.30% on gross amount 成交金額 | minimum: HK\$100 最低收費港幣 100 元 |
| Stamp Duty 印花稅 | 0.10% on gross amount 成交金額 | (round up to nearest dollar) 不足 1 元亦作 1 元計 |
| Transaction Levy 交易徵費 | 0.0027% on gross amount 成交金額 | |
| HKEx Trading Fee 交易費 | 0.005% on gross amount 成交金額 | |
| CCASS Settlement Fee 結算所交收費 | 0.002% on gross amount 成交金額 | minimum: HK\$2/ maximum: HK\$100 最低收費港幣 2 元/最高收費港幣 100 元 |
| Financial Reporting Council Transaction Levy (With effect from 1 st Jan 2022) 財務匯報局交易徵費 (2022 年 1 月 1 日生效) | 0.00015% on gross amount 成交金額 | (round up to nearest cent) 計至最接近的仙位數 |

CCASS Depository Service Charges 實物股票及交收服務

| Items 項目 | Charges 收費 | Remarks 備註 |
|--|--|------------|
| Transfer Deed 轉手紙釐印費 | HK\$5 for each transfer deed 每張轉手紙 | |
| Physical Stock Deposit 存入實物股票費 | HK\$500 per stock 每只股票 HK\$500 | |
| Physical Stock Withdrawal 提取實物股票費 | 1% of the closing price of the previous trade day for each stock 每只股票前一天收市價的 1% | |
| Stock Deposit via CCASS (SI) 交收指示費 (存入股票) | 1% of the closing price of the previous trade day for each stock 每只股票前一天收市價的 1% | |
| Stock Withdrawal via CCASS (SI) 交收指示費 (轉出股票) | 1% of the closing price of the previous trade day for each stock 每只股票前一天收市價的 1% | |
| Investor Settlement Instruction Fee 投資者交收指示費 | HK\$5 per transaction 每項指示 | |

Nominee Services & Corporate Actions for HK Stocks 港股代理人服務及企業行動

| Items 項目 | Charges 收費 | Remarks 備註 |
|---|--|--|
| Scrip Fee 登記過戶費 | HK\$2 per board lot 每手 | |
| Cash /Scrip Dividends/Coupon Collection Fee 處理/代收現金股息/股票股息 | 0.200% of cash dividend collected 股息/紅利額 | In addition, HK\$20 per instruction 另加每項指示港幣 20 元 |
| Bonus Shares Collection Fee 處理/代收紅股 | Nil 無 | |
| Bonus Warrant/Rights Collection Fee 處理/代收認股權/供股權 | Nil 無 | |
| Rights Subscriptions/Warrants Conversions or Open/Preferential Offers, Cash Offers and All Other Corporate Actions 供股/行使認股證或優先認股證, 現金收購及其他公司行動 | HK\$0.80 per board lot 每手 | In addition, HK\$50 per instruction 另加每項指示港幣 50 元 |
| Handling Charge for Unclaimed Entitlements 處理追收未領取權益 | HK\$500 | |



IPO Application Service Charges for HK Stock 港股-新股認購

| Items 項目 | Charges 收費 | Remarks 備註 |
|---|------------|--|
| IPO Application 新股認購 | HK\$100 | |
| Cancellation or Amendment of IPO 任何更改或取消新股認購 | HK\$100 | |
| Application with Insufficient Fund 不足認購款項申請 | HK\$500 | The Company reserves the right to reject insufficient fund IPO applications without prior notice 本公司保留拒絕接受一切不足認購金額以作新股認購申請之權利 |

Service Charges & Interest Rate 帳戶服務

| Items 項目 | Charges 收費 | Remarks 備註 |
|---|------------|--|
| Overdue Settlement Charge for Cash Clients' Transactions 現金帳戶過期交收利息 | 35 % | |
| Overdue Settlement Charge for Margin Clients' Transactions 保證金帳戶過期交收利息 | 35 % | |
| Daily Account Statement Re-print 重印日結單副本手續費 | HK\$30 | per hard copy 每份結單副本 |
| Statement Re-print 重印月結單手續費 | HK\$50 | per hard copy 每份結單副本 |
| Custody Fee 股票託管費 (倉費) | Nil 無 | On or before 3 months (free of charge within 3 months) 要求補發 3 個月或之前的月結單(3 個月之內免費) |

Market Data Related Charges for HK Stocks 港股報價服務

| Items 項目 | Charges 收費 | Remarks 備註 |
|-------------------------------------|------------|-----------------|
| Internet Trading Platform 網上交易平台 | Nil 無 | |
| Real Time Quotes Services 實時報價服務 | HK\$350 | per month 每月 |

Other Charges 其他收費

| Items 項目 | Charges 收費 | Remarks 備註 |
|---------------------------------------|------------|---|
| Chats 本地銀行轉賬 | HK\$0 | per case 每項 plus actual bank charge 另加銀行收費 |
| T/T 海外電匯 | HK\$400 | per case 每項 plus actual bank charge 另加海外銀行收費 |
| Returned Cheque 退票 | HK\$300 | per cheque 每張 |
| Stop Payment 終止付款 | HK\$300 | per case 每項 |
| Account Confirmation Letter 財務諮詢函件 | HK\$300 | per copy 每封函件 |
| Admin Charge 行政費 | HK\$250 | semi-annually 每半年一次 Handling fee to be charged in January and July of the following year if an account has no any trades in the past 6 months. 如客戶戶口連續 6 個月內沒有交易買賣，本公司將在每年 1 月、7 月收取行政費。 |
| Mailing Statement 郵寄結單收費 | HK\$20 | per month 每月 |

Notes 備註:

Charges and Fees are subject to change without prior notice.

收費及費用可隨時更改而毋須預先通知客戶。

Note 1: In case the client does not do any trading, the relevant charges shall be doubled

註 1: 如果客戶沒有進行任何買賣，有關費用將加倍收取